IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Number

10/642,272

Confirmation No.:

3248

Applicant

Fumiyuki HATTORI, et al.

Filed

: August 18, 2003

Title

THERAPEUTIC METHODS AND AGENTS FOR DISEASES

ASSOCIATED WITH DECREASED EXPRESSION OF AOP-1

GENE OR AOP-1

TC/Art Unit

1632

Examiner:

Marcia S. NOBLE, Ph.D.

Docket No.

58777.000012

Customer No.

21967

MAIL STOP PATENT TERM EXT.

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

REQUEST FOR RECONSIDERATION OF PATENT TERM ADJUSTMENT UNDER 37 C.F.R. § 1.705(b)

Dear Sir:

Applicants request reconsideration under 37 C.F.R. § 1.705(b) of the patent term adjustment of 54 days indicated for the above-identified application ("the '272 application"). It is respectfully requested that Applicants be granted a minimum patent term adjustment of <u>512 days</u>.

The issue fee has not been paid in the '272 application. Accordingly, as required by 37 C.F.R. § 1.705(b), this request is timely.

In accordance with 37 C.F.R. § 1.705(b)(1), please charge the fee set forth in 37 C.F.R. § 1.18(e) (\$200) to the undersigned's **Deposit Account No. 50-0206**. In the event any additional fees are required for consideration of this request, the USPTO is authorized to charge these fees to the undersigned's Deposit Account No. 50-0206.

Remarks begin on page 2.

REMARKS

Applicants request reconsideration under 37 C.F.R. § 1.705(b) of the patent term adjustment for the '272 application. In view of the following, it is respectfully requested that Applicants be granted a minimum patent term adjustment of <u>512 days</u>.

Statement Under 37 C.F.R. § 1.705(b)(2)

The patent term adjustment ("PTA") under 35 U.S.C. § 154(b) listed on the '272 application is <u>54 days</u>. *See* **Exhibit A** (a copy of the Determination of Patent Term Adjustment). This determination is in error because the USPTO failed to take certain action within the time frame specified in 37 C.F.R. § 1.702(a) <u>and</u> failed to issue a patent within three years of the actual filing date of the above-identified patent application in accordance with 37 C.F.R. § 1.702(b). *See Wyeth v. Dudas* 88 USPQ2d 1538 (D.D.C. 2008).

A. Wyeth v. Dudas

In Wyeth v. Dudas, the U.S. District Court for the District of Columbia considered the USPTO's interpretation of 35 U.S.C. § 154(b). This section provides three guarantees of patent term adjustment, two of which are at issue. The first is a one-day extension of patent term for every day that issuance is delayed due to the USPTO failure to comply with certain statutory deadlines such as fourteen months for a first office action. See 35 U.S.C. § 154(b)(1)(A)(i)-(iv); see also 37 C.F.R. § 1.702(a). These delays are called "A delays" or "A periods." The second adjustment is a one-day term extension for every day it takes the patent to issue after three years from the filing date. See 35 U.S.C. § 154(b)(1)(B); see also 37 C.F.R. § 1.702(b). The period that begins after the three-year window has closed is referred to as the "B delay" or the "B period."

Under the statute, if "A delays" and "B delays" overlap, "the period of adjustment granted ... shall not exceed the actual number of days the issuance of the patent was delayed." 35 U.S.C. § 154(b)(2)(A) The purpose of this section is to prevent "double-counting" of periods of delay. *See Wyeth*, 88 USPQ2d at 1539. According to the USPTO's interpretation of this section, any "A delay" overlaps with any "B delay," and thus an applicant can only get credit for an "A delay" or a "B delay," whichever is larger, but never for both. *Id.* at 1540. The court disagreed. It concluded that periods of time "overlap" *only* if they occur on the same day. *Id.* at 1541. Therefore, if an "A delay" and

a "B delay" occurs on a different day, then a patentee may obtain an extension of A + B days. *Id*.

B. Calculation of PTA Under 37 C.F.R. § 1.703 Under Wyeth

The following facts are relevant for determining PTA for the '756 application.

- August 18, 2003 '272 application is filed.
- October 18, 2004 the "fourteen month date" on which an Office Action should have been mailed (*i.e.*, beginning of "A period").
- January 13, 2006 mail date of Restriction Requirement (i.e., first office action)
- August 18, 2006 three years from filing date of application (*i.e.*, beginning of "B period").
- November 19, 2007 filing of a Request for Continued Examination (*i.e.*, end of "B period").
- February 6, 2009 notice of allowance mailed.
- May 6, 2009 due date for payment of issue fee

1. "A" Periods Under 37 C.F.R. § 1.702(a)

The number of days from the fourteen month date (October 18, 2004) to the mailing of a first office action (January 13, 2006) is 452 days. This is in agreement with the USPTO's calculations. *See* USPTO Patent Term Adjustment History, attached herewith as **Exhibit B**.

2. "B" Periods Under 37 C.F.R. § 1.702(b)

The number of days from the beginning of the "B period" (August 18, 2006, *i.e.*, three years from the filing day of the application) to the end of the proposed "B period" (November 19, 2007, *i.e.*, filing of a Request for Continued Examination) is 458 days.

3. Delay Attributed to Applicant

The USPTO has calculated Applicants' delay in this case as 398 days.

4. PTA = (A Period + B Period) – Applicants Delay

According to Wyeth, the PTA should be calculated as follows:

A period = 452 days. See 1. above

B period = 458 days. See 2. above.

Applicants' delay = 398 days. See 3 above.

(452 + 458) - 398 = 512 days

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Accordingly, Applicants respectfully request that the '272 application be granted a minimum PTA of <u>512 days</u>.

CONCLUSION

In view of the above remarks, it is respectfully requested that this Request for Reconsideration of Patent Term Adjustment be favorably considered and that a corrected Determination of Patent Term Adjustment be issued to reflect a minimum patent term adjustment of <u>512 days</u>.

Respectfully submitted,

HUNTON & WILLIAMS LLP

Dated: May 5, 2009

By:

Robert M. Schulman

Registration No. 31,196

Christopher J. Nichols, Ph.D. Registration No. 55,984

HUNTON & WILLIAMS LLP Intellectual Property Department 1900 K Street, N.W., Suite 1200 Washington, D.C. 20006 (202) 955-1500 (telephone) (202) 778-2201 (facsimile)

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Exhibit ADetermination of Patent Term Adjustment



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/642,272	08/18/2003	Fumiyuki Hattori	58777.000012 3248		
21967 75	90 02/06/2009		EXAMINER		
HUNTON & WILLIAMS LLP		NOBLE, MARCIA STEPHENS			
INTELLECTUAL PROPERTY DEPARTMENT		ART UNIT	PAPER NUMBER		
1900 K STREET, N.W.		1632			
SUITE 1200 WASHINGTON, DC 20006-1109			DATE MAILED: 02/06/2009		

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 54 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 54 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

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Exhibit BUSPTO Patent Term Adjustment History

10/642,272			GENTS FOR DISEASES ASSO I OF AOP-1 GENE OR AOP-1	DCIATED	05-05- 2009::15:07:43	
Patent Term	Adjustments					
Patent Term A	djustment (PTA) for <i>i</i>	Application Nun	nber: 10/642,272			
Filing or 371(c) Date:	08-18-2003	USPTO Delay (PTO) Delay	(days):	452	
Issue Date of Patent:		-	Three Years:		-	
Pre-Issue Petitions (days):		+0	Applicant Delay (APPL) Del	ay (days):	398	
Post-Issue Petitions (days):		+0	Total PTA (days):		54	
USPTO Adjustment(days):		+0	Explanation Of Calculations	5		
Patent Term	Adjustment His	tory				
Date	Contents Descrip	tion	ţ	PTO(Days)	APPL(Days)	
02-06-2009	Mail Notice of Allowance					
02-04-2009	Document Verificat	ion				
02-03-2009	Notice of Allowance	e Data Verificat	ion Completed			
02-02-2009	Examiner's Amend	ment Communi	cation			
02-02-2009	Examiner Interview	Examiner Interview Summary Record (PTOL - 413)				
01-25-2009	Date Forwarded to	Examiner				
01-15-2009	Amendment after F	inal Rejection				
10-15-2008	Mail Final Rejection	(PTOL - 326)				
10-14-2008	Final Rejection					
08-28-2008	Date Forwarded to	Examiner				
07-28-2008	Response after Nor	n-Final Action			91	
07-28-2008	Request for Extens	ion of Time - G	ranted		會	
08-20-2008	Mail Examiner Interview Summary (PTOL - 413)				會	
08-12-2008	Examiner Interview	Summary Rec	ord (PTOL - 413)		企	
01-28-2008	Mail Non-Final Reje	ction			4	
01-22-2008	Non-Final Rejection	ı				
11-30-2007	Date Forwarded to	Examiner				
11-19-2007	Request for Continu	ued Examinatio	n (RCE)		94	
11-30-2007	DISPOSAL FOR A R CPA)	CE/CPA/129 (e	xpress abandonment if		Ŷ	
11-19-2007	Request for Extensi	on of Time - G	ranted		會	
11-19-2007	Workflow - Request	for RCE - Beg	in		*	
05-17-2007	Mail Final Rejection	(PTOL - 326)			會	
05-14-2007	Final Rejection					
02-09-2007	Information Disclos	ure Statement	considered			
03-14-2007	Information Disclos	ure Statement	considered			
04-10-2007	Information Disclos	ure Statement	considered			
04-10-2007	Information Disclos	ure Statement	(IDS) Filed		60	
04-10-2007	Information Disclos	ure Statement	(IDS) Filed			
03-14-2007	Information Disclos	ure Statement	(IDS) Filed		會	
03-14-2007	Information Disclos	ure Statement	(IDS) Filed		會	

Reference capture on IDS		會
Information Disclosure Statement (IDS) Filed		*
Information Disclosure Statement (IDS) Filed		會
Miscellaneous Incoming Letter		Ŷ
Date Forwarded to Examiner		介
Response after Non-Final Action		92
Request for Extension of Time - Granted		Ŷ
CRF Is Flawed Technically / Not Entered into Database		*
Mail Non-Final Rejection		會
Non-Final Rejection		
Date Forwarded to Examiner		
Response to Election / Restriction Filed		61
Request for Extension of Time - Granted		4
Mail Restriction Requirement	452	
Requirement for Restriction / Election	4	
Information Disclosure Statement considered	*	
Case Docketed to Examiner in GAU	4	
IFW TSS Processing by Tech Center Complete	*	
Mail Miscellaneous Communication to Applicant	*	
Miscellaneous Communication to Applicant - No Action Count	4	
Preliminary Amendment	*	
Miscellaneous Incoming Letter	4	
Miscellaneous Incoming Letter	*	
Request for Foreign Priority (Priority Papers May Be Included)	4	
Information Disclosure Statement (IDS) Filed	*	
Information Disclosure Statement (IDS) Filed	1	
Application Dispatched from OIPE	4	
Application Is Now Complete		
Additional Application Filing Fees	Ŷ	
CRF Disk Has Been Received by Preexam / Group / PCT	4	
CRF Is Good Technically / Entered into Database	*	
SEQUENCE ERRORS	4	
Correspondence Address Change	4	
SEQUENCE ERRORS	1	
SEQUENCE ERRORS	*	
Additional Application Filing Fees	4	
CRF Disk Has Been Received by Preexam / Group / PCT	^	
Pre-Exam Office Action Withdrawn	*	
SEQUENCE ERRORS	*	
CRF Disk Has Been Received by Preexam / Group / PCT	4	
	Information Disclosure Statement (IDS) Filed Information Disclosure Statement (IDS) Filed Miscellaneous Incoming Letter Date Forwarded to Examiner Response after Non-Final Action Request for Extension of Time - Granted CRF Is Flawed Technically / Not Entered into Database Mail Non-Final Rejection Non-Final Rejection Date Forwarded to Examiner Response to Election / Restriction Filed Request for Extension of Time - Granted Mail Restriction Requirement Requirement for Restriction / Election Information Disclosure Statement considered Case Docketed to Examiner in GAU IFW TSS Processing by Tech Center Complete Mail Miscellaneous Communication to Applicant Miscellaneous Communication to Applicant - No Action Count Preliminary Amendment Miscellaneous Incoming Letter Miscellaneous Incoming Letter Request for Foreign Priority (Priority Papers May Be Included) Information Disclosure Statement (IDS) Filed Information Disclosure Statement (IDS) Filed Application Dispatched from OIPE Application Is Now Complete Additional Application Filing Fees CRF Disk Has Been Received by Preexam / Group / PCT CRF Is Good Technically / Entered into Database SEQUENCE ERRORS Correspondence Address Change SEQUENCE ERRORS SEQUENCE ERRORS SEQUENCE ERRORS SEQUENCE ERRORS Additional Application Filing Fees CRF Disk Has Been Received by Preexam / Group / PCT Pre-Exam Office Action Withdrawn SEQUENCE ERRORS	Information Disclosure Statement (IDS) Filed Information Disclosure Statement (IDS) Filed Miscellaneous Incoming Letter Date Forwarded to Examiner Response after Non-Final Action Request for Extension of Time - Granted CRF Is Flawed Technically / Not Entered into Database Mail Non-Final Rejection Non-Final Rejection Date Forwarded to Examiner Response to Election / Restriction Filed Request for Extension of Time - Granted Mail Restriction Requirement 452 Requirement for Restriction / Election Information Disclosure Statement considered Case Docketed to Examiner in GAU IFW TSS Processing by Tech Center Complete Mail Miscellaneous Communication to Applicant Miscellaneous Communication to Applicant Miscellaneous Communication to Applicant Miscellaneous Incoming Letter Miscellaneous Incoming Letter Miscellaneous Incoming Letter Miscellaneous Foreign Priority (Priority Papers May Be Included) Information Disclosure Statement (IDS) Filed Information Disclosure Statement (IDS) Filed Application Dispatched from OIPE Application Dispatched from OIPE Application Is Now Complete Additional Application Filing Fees CRF Disk Has Been Received by Preexam / Group / PCT CRF Is Good Technically / Entered into Database SEQUENCE ERRORS Correspondence Address Change SEQUENCE ERRORS Additional Application Filing Fees CRF Disk Has Been Received by Preexam / Group / PCT Pre-Exam Office Action Withdrawn SEQUENCE ERRORS Additional Application Filing Fees CRF Disk Has Been Received by Preexam / Group / PCT Pre-Exam Office Action Withdrawn SEQUENCE ERRORS

11-04-2003	Cleared by OIPE CSR	4	
09-09-2003	CRF Is Flawed Technically / Not Entered into Database	^	
09-03-2003	IFW Scan & PACR Auto Security Review	會	
08-18-2003	CRF Disk Has Been Received by Preexam / Group / PCT	會	
08-18-2003	Initial Exam Team nn	•	

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